Benchmark
MANAGEMENT GROUP, INC.
1730 Park Street, Suite 214 Naperville, IL 60563
Phone: (630) 778-7000 Fax: (630) 778-7007

FIDUCIARY ACTIVITIES
ERRORS & OMISSIONS SUPPLEMENTAL APPLICATION

INSTRUCTIONS:

1. Complete the following information if, within the past two (2) years, any owner, partner, shareholder, principal, officer or employee has received, disbursed, or controlled client funds and/or assets in any capacity.
2. Complete one form for each client (copy if necessary).
3. Please type or print using black ink. DO NOT USE PENCIL.

1. Name of Applicant:_____
2. Legal Name of firm:_____
3. Client's Name:_____
4. Relationship to firm:_____
5. Describe the services rendered for the client listed in #2 above:_____

6. a. Complete the following for all individuals who have authority to transact business or handle funds and/or assets for this client:

NAME	CAPACITY	MEMBER OF THE APPLICANT FIRM?
		☐ No ☐ Yes
		☐ No ☐ Yes
		☐ No ☐ Yes

   b. Is the individual(s) listed in 6a. bonded for handling client funds and/or assets? ☐ No ☐ Yes
   If "YES," please attach a copy of the bond.

7. What funds and/or assets does the individual(s) listed in 6a. above have access to? (Please list type and maximum value at any given time):

Cash	$_____	Real Estate	$_____	Trust Accounts	$_____
Bank Accounts	$_____	Securities	$_____	Other:_____	$_____

8. Is the client's signature required on all checks and/or transactions? ☐ No ☐ Yes
   If "YES," please explain:_____

9. Does the individual(s) listed in 6a. above have the authority to make investment decisions on behalf of the client? ☐ No ☐ Yes
   If "YES," please explain:_____

10. What risk controls are in place to monitor the handling of client funds and/or assets? _____

I declare that the information submitted herein is true to the best of my knowledge and becomes a part of my Professional Liability Application. I understand that an incorrect or incomplete statement could void my protection.

_____

Signature of Applicant (must be signed by a principal, partner or officer of the firm) | Title | Date